

ATTY DKT NO 32995

EXPRESS MAIL RECEIPT NO. EV335000714US
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles H. Downs, Jr.

Application No.: Not Yet Assigned) Group Art Unit: 2623
Filed: Concurrently herewith, a continuation)
of co-pending Application Serial No.)
09/518,424)
For: CHARACTER RECOGNITION,)
INCLUDING METHOD AND)
SYSTEM FOR PROCESSING)
CHECKS WITH INVALIDATED)
MICR LINES)

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior Application Serial No. 09/518,424, filed March 3, 2000. The references cited in Form PTO-1449 were submitted to, and/or cited by, the Office in the prior application and therefore are not required to be provided in this application. A Form PTO-1449A listing the references cited below is filed concurrently herewith:

<u>Patent No.</u>	<u>Patentee(s)</u>	<u>Issue Date</u>
3,949,363	Holm	April 1976
3,988,571	Blair et al.	October 1976
4,027,142	Paup et al.	May 1977
4,103,617	O'Brien et al.	August 1, 1978

4,205,780	Burns et al.	June 1980
4,315,246	Milford	February 1982
4,408,342	Grabowski et al.	October 1983
4,555,617	Brook et al.	November 1985
4,888,812	Dinan et al.	December 1989
5,077,805	Tan	December 31, 1991
5,119,433	Will	June 1992
5,146,512	Weidman et al.	September 1992
5,359,667	Borowski et al.	October 1994
5,754,673	Broks et al.	May 1998
5,754,674	Ott et al.	May 1998
5832,140	Stapleton et al.	November 1998
5,963,659	Cahill et al.	October 1999
6,023,534	Handley	August 1997
6,243,504	Kruppa	August 1998

Respectfully submitted,



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Charles H. Downs, Jr.
Group Art Unit	2623
Examiner Name	M. Miller

Attorney Docket Number 32995.2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (if known)			
	1	US-3,949,363	April 1976	Holm	
	2	US-3,988,571	October 1976	Blair et al.	
	3	US-4,027,142	May 1977	Paup et al.	
	4	US-4,103,617	August 1978	O'Brien et al.	
	5	US-4,205,780	June 1980	Burns et al.	
	6	US-4,315,246	February 1982	Milford	
	7	US-4,408,342	October 1983	Grabowski et al.	
	8	US-4,555,617	November 1985	Brook et al.	
	9	US-4,888,812	December 1989	Dinan et al.	
	10	US-5,077,805	December 1991	Tan	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature

Date Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if the English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 198. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>				<i>Complete if Known</i>	
<p>Application Number</p>		<p>Not Yet Assigned</p>			
<p>Filing Date</p>		<p>Herewith</p>			
<p>First Named Inventor</p>		<p>Charles H. Downs, Jr.</p>			
<p>Group Art Unit</p>		<p>2623</p>			
<p>Examiner Name</p>		<p>M. Miller</p>			
Sheet	2	of	2	<p>Attorney Docket Number</p> <p>32995.2</p>	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code ² (if known)			
	1	US-5,119,433	June 1992	Will	
	2	US-5,146,512	September 1992	Weidman et al.	
	3	US-5,359,667	October 1994	Borowski et al.	
	4	US-5,754,673	May 1998	Broks et al.	
	5	US-5,754,674	May 1998	Ott et al.	
	6	US-5832,140	November 1998	Stapleton et al.	
	7	US-5,963,659	October 1999	Cahill et al.	
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